# TOLL FREE NUMBER: 1800 309 3900 | EMAIL: service@bajajamc.com | WEBSITE: https://www.bajajamc.com

# SYSTEMATIC TRANSFER PLAN / SYSTEMATIC WITHDRAWAL PLAN



Please read the Terms and Conditions carefully and strike off any sections that are not relevant or not applicable.

1. DISTRIBUTOR INFORMATION\*

	Broker Code/ RIA** / PMRN** Code	Sub Broker /Ag ARN Code		Code	Internal Code fo Sub - Agent / Empl		EUIN*	ISC Date Timestamp Reference No.		
	115899						E172646			
By mentioning RIA /PMRN code, I/We authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Bajaj Finserv Mutual Fund.  Please 'if applicable' in case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.										
2. EXISTII	NG UNIT HOLDER INFORM	IATION								
nvestor Na	me Mr. Ms. M/s.									
Folio No.			PAN/PEKRN*				Encl	osed: KYC Compliance		
	MATIC TRANSFER PLAN (	<b>STP)</b> (To be submitt	ed atleast 5 working days	before	the 1st due date for tran	sfer) (Ref	er STP instructions	5)		
From Sche	me			To Sch	ieme					
	PI	an				P	Plan			
Option (Ple	ase√any one) ☐ Growth	DCW Payout	IDCW Reinvestment	Option	(Please√any one)	Growth [	IDCW Payout	☐ IDCW Reinvestment		
IDCW Freq		Please specify)			requency e of IDCW Option)		(Please spec	sify)		
(In case of IDCW Option)										
OII II Oqu	Energy.		Hom Monday to Friday)		Date   D   D   STP Start	асп попс Тм ГмГ	Y			
STP Amour	nt:	No. of Installment	s:			IVI IVI		:na [W] W] I J I J I J I		
				(You r	may select any date from 1st	to 28th of	the month)			
4. SYSTE	MATIC WITHDRAWAL PLA	N (SWP) (To be sub	mitted atleast 5 working (	days be	fore the due date for tra	nsfer) Ret	fer SWP Instructior	าร		
Scheme				Pla						
Option (Ple	ease√ any one) ☐ Growt	:h DCW Payout	IDCW Reinvestmer		CW Frequency ase of IDCW Option)					
SWP Installment ₹ SWP Frequency: Monthly Quarterly Half Yearly Yearly										
No. of Inst	allments				P Date: D D SWP Sta			vP End: [		
5 DECLA	RATION AND SIGNATURE	(s)								
Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding '50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.										
□ I /We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.										
SIGNATURE(	, 									
	Sign of 1st Applicant / Gua Authorised Signatory / I		Sign of 2 Authorised					Applicant / gnatory / POA		
FINSERV ACKNOWLEDGMENT SLIP (To be filled in by the investor)										
Folio No.							Application No.	:		
From						ı				
Scheme		1	1	Plan						
Amount		Cheque No.		Date	D D M M Y Y	YY	Signatu	ıre, Stamp & Date		

# TERMS AND CONDITIONS

## SYSTEMATIC TRANSFER PLAN (STP)

- Systematic Transfer Plan (STP) is an option wherein Unit holders of Source Schemes can opt to transfer a fixed amount at regular intervals (and provide standing instructions to the AMC to switch the same into the target schemes.
- The source schemes refer to all open-ended schemes and the target schemes refer to all open ended schemes where subscription is allowed.
- The amount transferred under STP from Source scheme to the Target Scheme shall be done by redeeming Units of Source scheme at Applicable NAV, subject to exit load, if any; and subscribing to the Units of the Scheme at Applicable NAV as on specified date as given below:

Systematic Transfer Plan (	Default	
Particulars	Frequency	
Daily Option	All business day	-
Weekly Option	Any day from Monday to Friday	Tuesday
Fortnightly Option	1st & 16th of each month	-
Monthly & Quarterly Option	Any Date of every month/quater (between 1st and 28 days)	10th of the month/quater

In case these dates fall on a holiday or book closure period, the next Business Day will be considered for this purpose. In case of nil balance in the Source Scheme, STP for that particular due date will not be processed.

- STP will cease to be active upon 3 consecutive unsuccessful transactions or if all units are pledged or upon receipt of intimation of death of Unit holder. All requests for registering or discontinuing Systematic Transfer Plans shall be subject to an advance notice of 5 working days.
- The provision of "Minimum Redemption Amount" specified in Scheme Information Document (SID)(s) of the respective Source schemes and "Minimum Application Amount" applicable to the Target Scheme as specified in this document will not be applicable for Systematic Transfer Plan.
- Daily, weekly, monthly and quarterly frequency: The minimum amount criteria will be Rs 1000/- per installment and any amount thereafter. The minimum number of installments required will be 6

In case frequency is not selected, default frequency shall be Monthly and the default date for STP shall be 10th of the month and in case of Weekly frequency, the default day will be Tuesday.

- Further, the minimum balance in the Unit holders account or the minimum amount of application at the time of enrolment for STP in the Transferor Scheme should be Rs. 12,000.
- There will be no maximum duration for STP enrolment.
- The amount transferred under the STP from the Transferor Scheme to the Transferee Scheme shall be effected by redeeming units of Transferor Scheme at Applicable NAV, after payment of Exit Load. if any, and subscribing to the units of the Transferee Scheme at Applicable NAV in respect of each STP investment. In case the STP date falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
- Unit holders may change the amount (but not below the specified minimum) by giving written notice to any of the Official Point(s) of Acceptance. Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the OPA. Notice of such discontinuance should be received at least 5 working days prior to the due date of the next transfer date.
- On receipt of such request, the STP facility will be terminated. STP will be terminated automatically if all the Units are liquidated or withdrawn from the Transferor Scheme or pledged or upon the Fund's receipt of notification of death or incapacity of the Unit holder.
- Exit Load, if any, prevailing on the date of enrolment shall be levied in the Transferee Scheme.

  The AMC / Trustee reserve the right to change / modify load structure and other terms and conditions under the STP prospectively at a future date.
- The Fund reserves the right to include/remove any of its Schemes under the category of Source and Target Schemes available for STP from time to time by suitable display of notice on AMC's Website.
- The facility is available for both Source and Target Scheme.

## SYSTEMATIC WITHDRAWAL PLAN (SWP)

This facility enables an investor to withdraw sums from their Unit accounts in the Scheme at periodic intervals through a one-time request. The withdrawals can be made as follows:

	Frequency			
Particulars	Monthly	Quarterly	Half-Yearly	Yearly
SWP	Any date of every	Any date of every	Any date of every	Any date of every
Transaction	month (between 1st	Quarter (between 1st &	half- year (between	year (between 1st &
Dates	& 28th)	28th)	1st & 28th)	28th)
Minimum no. of	2 installments of Rs.	2 installments of Rs. 1000/-	2 installments of Rs.	2 installments of Rs.
installments and	1000/-each and in	each and in multiples of Re.	1000/-each and in	1000/-each and in
Minimum amount	multiples of Re. 1/-	1/- thereafter	multiples of Re. 1/-	multiples of Re. 1/-
of installment	thereafter		thereafter	thereafter

- The withdrawals will commence from the start date mentioned by the investor in the SWP Application Form. The Units will be redeemed at the Applicable NAV of the respective dates on which such
- The request for enrollment / processing of SWP will only be on a Business Day at the applicable NAV.

  In case during the term of SWP processing date falls on a non-Business Day, then such request will be processed on the following Business Day's applicable NAV.
- The request for enrollment of SWP in the prescribed form should be received at any OPA / ISC at least 5 working days in advance before the execution /commencement date.
- In case the balance in the scheme goes below the SWP installment amount, then the SWP shall be processed for the available balance.
- In case of 3 consecutive SWP installment on account of NIL balance in the scheme, the SWP in the scheme shall be discontinued.
- The request for discontinuation of SWP shall be given in writing and should be received at any official point of acceptance / Investor Service Center at least 5 working days in advance before the execution / commencement date.
- A request for SWP will be treated as a request for Redemption from/Subscription into the respective Option(s)/Plan(s) of the Scheme(s) as opted by the Investor, at the applicable NAV.