



# COMMON APPLICATION FORM

For first time investors for Lumpsum Investments / SIP Investments / Zero Balance folio registration.

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. ALL SECTIONS TO BE COMPLETED IN ENGLISH IN BLACK/BLUE COLOURED INK AND IN BLOCK LETTERS)

Distributor  
ARN

Sub-Distributor  
ARN

Internal Sub-Broker/  
Sol ID

Application No.

EUIN

Employee  
Code

RIA CODE ^

## Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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### TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction No. 20)

☐ I confirm that I am a first time investor across Mutual Funds. **OR** ☐ I confirm that I am an existing investor across Mutual Funds.

In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

**EXISTING INVESTOR'S FOLIO NUMBER** (If you have an existing folio with KYC validated, please mention here and skip to section 4)

**MODE OF HOLDING** (in case of Demat Purchase Mode of Holding should be same as in Demat Account)

**Unit Holding Option**

Folio number

☐ Single ☐ Joint (Default)  
☐ Anyone or Survivor

☐ Demat Mode ☐ Physical Mode  
(in case of Demat, please fill sec 6)

### 1. YOUR PERSONAL DETAILS (MANDATORY) (In case of investment "On behalf of minor", Please refer instruction No. 11)

First Applicant Mr. Ms. M/s. FIRST APPLICANT Gender ☐ M ☐ F ☐ O

PAN  
(Mandatory)

Aadhaar No.  
(Optional)

DOB

CKYC No.  
(Optional)

Address

City

State

Pincode

Mobile

Email ID\*

Occupation  
Details

☐ Pvt. Sector Service ☐ Public Sector Service ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist  
☐ Retired ☐ Housewife ☐ Forex Dealer ☐ Student ☐ Others  Specify

Gross Annual  
Income (₹)

☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Crore ☐ > 1 Crore

Net worth (Mandatory for Non - Individuals) ₹

as on

\*Email id belongs to Self ☐ / Family Member ☐ (Refer Instruction No. 25)

☐ I / we hereby prefer to 'OPT-IN' to receive physical copies of scheme Annual Report or Abridged summary.

### BANK ACCOUNT DETAILS FOR PAYOUT (Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details. Refer Instruction No. 6)

Name of the bank

Branch Address

City

State

Pincode

Account No.

Account type

☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

Specify

IFSC code (11 digit)

MICR Code (9 digit)



Second Applicant	Mr. Ms. M/s.	SECOND APPLICANT	Gender	<input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> O
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PAN (Mandatory)		Aadhaar No. (Optional)	
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DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r
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Address	
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City		State		Pincode	
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Occupation Details	<input type="checkbox"/> Pvt. Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Student	<input type="checkbox"/> Others	Specify

Gross Annual Income (₹)	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore
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Third Applicant	Mr. Ms. M/s.	THIRD APPLICANT	Gender	<input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> O
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PAN (Mandatory)		Aadhaar No. (Optional)	
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DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r
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Address	
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City		State		Pincode	
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Occupation Details	<input type="checkbox"/> Pvt. Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Student	<input type="checkbox"/> Others	Specify

Gross Annual Income (₹)	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore
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<b>GUARDIAN DETAILS</b> (In case First / Sole Applicant is minor) / CONTACT PERSON - DESIGNATION / PoA HOLDER (In case of Non-individual Investors)
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Mr. Ms. M/s.	GUARDIAN	Gender	<input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> O
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PAN (Mandatory)		Aadhaar No. (Optional)	
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DOB	D D M M Y Y Y Y	CKYC No. (Optional)	1 4 d i g i t C K Y C N u m b e r
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Address	
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City		State		Pincode	
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Occupation Details	<input type="checkbox"/> Pvt. Sector Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculture
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Student	<input type="checkbox"/> Others	Specify

Gross Annual Income (₹)	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore
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Relationship Of Guardian (Refer Instruction No. 11)	<input type="checkbox"/> Mother	<input type="checkbox"/> Father	<input type="checkbox"/> Court Appointed Guardian
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Email ID	
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Proof of the Relationship with Minor	<input type="checkbox"/> Birth Certificate	<input type="checkbox"/> School Certificate	<input type="checkbox"/> Passport	<input type="checkbox"/> Others	Specify
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TAX STATUS (Applicable for First / Sole Applicant)	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> FII	<input type="checkbox"/> NRI-NRO	<input type="checkbox"/> HUF	<input type="checkbox"/> Club / Society	<input type="checkbox"/> PIO	<input type="checkbox"/> Body Corporate
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<input type="checkbox"/> Minor	<input type="checkbox"/> Government Body	<input type="checkbox"/> Trust	<input type="checkbox"/> NRI - NRE	<input type="checkbox"/> Bank & FI	<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> QFI	<input type="checkbox"/> Provident Fund
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<input type="checkbox"/> Others	Specify
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For Individuals	For Non-Individual Investors (Companies, Trust, Partnership etc.)	
<input type="checkbox"/> I am a Politically Exposed Person	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: (If No, please attach mandatory UBO Declaration)	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> I am related to a Politically Exposed Person	Foreign Exchange / Money Charger Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> I am not related to Politically Exposed Person	Gaming / Gambling / Lottery / Casino Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
	Money Lending / Pawning	<input type="checkbox"/> Yes <input type="checkbox"/> No



**2. FATCA AND CRS DETAILS FOR INDIVIDUALS** (Including Sole Proprietor, Refer Instruction No. 23)FOR RESIDENT  
INDIANS

The below information is required for all applicants/guardian.

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others _____
Second Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others _____
Third Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others _____

FOR NON-RESIDENT  
INDIANS**Are you a tax resident (i.e., are you assessed for tax) in any other country outside India?**If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose  
i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries.☐ Yes ☐ No

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Address Type
First Applicant / Guardian				<input type="checkbox"/> Resi <input type="checkbox"/> Regd. Office <input type="checkbox"/> Business
Second Applicant				<input type="checkbox"/> Resi <input type="checkbox"/> Regd. Office <input type="checkbox"/> Business
Third Applicant				<input type="checkbox"/> Resi <input type="checkbox"/> Regd. Office <input type="checkbox"/> Business

**Overseas Address**

		City		
State		Country		Zipcode

**For Non Individual investors Annexure I and Annexure II are available on the website of AMC i.e. [www.axismf.com](http://www.axismf.com) or at the Investor Service Centres (ISCs) of Axis Mutual Fund****3. NOMINATION DETAILS** (Mandatory) (Refer Instruction No. 18)

Sr. No.	Nominee Name	PAN	Allocation (%)	Relationship with Investor	Nominee date of birth	Guardian Name (in case of Minor)	Guardian Signature
1					D D M M Y Y		
2					D D M M Y Y		
3					D D M M Y Y		

☐ I/We DO NOT wish to nominate and sign here

You/ Sole Applicant

Second Applicant

Third Applicant

**4. INVESTMENT DETAILS** (For multiple schemes ref instruction no. 22) (Investors applying under Direct Plan must select "DIRECT" against scheme name, Refer Instruction No. 2.)

Sr. No.	Scheme	Plan	Option	Amount
1		<input type="checkbox"/> Direct <input type="checkbox"/> Regular		
2		<input type="checkbox"/> Direct <input type="checkbox"/> Regular		
3		<input type="checkbox"/> Direct <input type="checkbox"/> Regular		
<b>Total</b>	In words			In figures



## 5. PAYMENT DETAILS



☐ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

Mode ☐ Cheque ☐ DD ☐ Axis Bank Debit Mandate (Please fill section 9.) Date 

D	D	M	M	Y	Y	Y	Y
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 Cheque / DD No. 

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Amount (in figures) 

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 (in words) 

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Pay-in A/c No. 

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Account type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others 

Specify																			
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IFSC code (11 digit) 

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 MICR Code (9 digit) 

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Drawn on bank / branch name & address 

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## 6. DEMAT ACCOUNT DETAILS (OPTIONAL)

(Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c held with the depository participant) Refer Instruction No. 19.

<b>NSDL:</b>	Depository Participant Name	DP ID: <table border="1" style="display: inline-table;"><tr><td>I</td><td>N</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	I	N																	
	I	N																			
Beneficiary A/c No.	<table border="1" style="display: inline-table;"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																				

  

<b>CDSL:</b>	Depository Participant Name																				
	Beneficiary A/c No.	<table border="1" style="display: inline-table;"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																			

Enclosed ☐ Client Master ☐ Transaction / Statement Copy / DIS Copy

## 7. DECLARATION AND SIGNATURE

Having read and understood the content of the SID / KIM of the scheme and SAI of the Axis Mutual Fund (The Fund), I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I / we give my / our consent to collect personal data or information as prescribed in the privacy policy which is available on the website of the AMC / Fund. I /We give my consent to AMC and its agents to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotions/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

**CERTIFICATION:** I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

**AADHAAR DECLARATION:** I/ We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/ our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/ We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund (s) and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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Date 

D	D	M	M	Y	Y	Y	Y
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 Place 

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## 8. QUICK CHECKLIST

- ☐ KYC acknowledgement letter (Compulsory for MICRO Investments)
- ☐ Self attested PAN card copy
- ☐ Plan / Option / Sub Option name mentioned in addition to scheme name
- ☐ Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts)
- ☐ Email id and mobile number provided for online transaction facility
- ☐ SIP Registration Form for SIP investments
- ☐ Relationship proof between guardian and minor (if application is in the name of a minor)
- ☐ FATCA Declaration
- ☐ Additional documents attached for Third Party payments. Refer instruction No. 7.



**EasyInvest**  
<http://online.axismf.com>  
 Invest online without  
 any prior registration



**Toll Free**  
1800 221322/  
1800 3000 3300  
**Additional Contact No.**  
8108622211



**Easy SMS**  
SMS HELP to 92120 10033  
Transact and get folio  
details on the go



**Axis MF IFA CONNECT**  
An app that goes beyond transactions  
Download the app  or  
visit the web portal  
(<https://ifaconnect.axismf.com>)



## Risk Managed Products

**9. DEBIT MANDATE** (Only for Axis Bank Account holders. Now you don't have to issue a cheque if you hold an Axis Bank Account). To be processed in CMS software under client code "AXISMP"

I/ We	Name of the account holder(s)
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Application No.

authorise you to debit my/our account no.

Account type ☐ Savings ☐ NRO ☐ NRE ☐ Current ☐ FCNR ☐ Others  to pay for the purchase of

☐ Axis Bluechip Fund ☐ Axis Long Term Equity Fund ☐ Axis Regular Saver Fund ☐ Axis Triple Advantage Fund ☐ Axis Midcap Fund

☐ Axis Focused 25 Fund ☐ Axis Arbitrage Fund ☐ Axis Equity Saver Fund ☐ Axis Multicap Fund ☐ Axis Dynamic Equity Fund

☐ Axis Equity Hybrid Fund ☐ Axis Growth Opportunities Fund ☐ Axis Small Cap Fund **OR** ☐ Axis MF Multiple Schemes

Amount (in words)	(in Figures)

Signature of  
First Account Holder

Signature of  
Second Account Holder

Signature of  
Third Holder

Date 

D	D	M	M	Y	Y	Y	Y
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**WE ACKNOWLEDGE YOUR APPLICATION** Received subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From

Cheque No.	Date	Amount	Scheme	Stamp & Signature

Application No.